

6 April 2021

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Kia ora Wendy

OIA Request: Your Ref: 2020/08 – Points of Clarification: “O” & “Q”
Our Ref: AGR 20-21-09

Thank you for your further request dated 9 March 2021, please find our response below:

- Please be aware that your table in response to question 4 (dated 11 Sep 2020; being our OIA 2020/9) does not align well with AgResearch’s annual reports to the EPA for activities under ERMA 200223 (regarding collaborations). This is why we have asked many of the sub-questions in question O (including question O (t)). Our understanding of the term ‘collaboration’ is that it includes everything listed on your table as indicated in the third column ‘Collaboration Type’. To this end, please update the table (to include the rest of 2020/2021), expand to clarify which collaborations are mentioned in the three annual reports and reissue. For clarity, if you are implying that the date ‘2014-’ means ‘2014 – ongoing’; could you please add ‘ongoing’ to the table. For example, we note that the company AborVita (mentioned on the bottom of page 3 of your letter) may have one staff member at present – see <https://www.crunchbase.com/organization/arborvita-associates/people>.**

We therefore wondered if AgResearch is actively pursuing a collaboration at present with AborVita given its size. For this reason, can you confirm that the collaborations listed are ongoing and are being actively being pursued.

Entity	Dates	Collaboration type	Obligations	Funding	Requirements
PPL Therapeutics, UK	2000-2003	A proposed joint venture didn't go ahead due to PPL going into liquidation	N/A	N/A	A Confidentiality agreement to protect commercial interests of both parties
AborVita Associates	2014-15	Material Transfer Agreement (MTA)	Exchange of materials In-kind support	N/A	Confidentiality Agreement
AgGenetics	2019-21	Service Agreement	Collaborative research Scientific exchange	\$260K	Confidentiality Contracted milestones

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Bio Sidus S.A.	2007-10	Confidentiality Agreement	Collaborative opportunities	N/A	Confidentiality
China Agricultural University	2012-15	Confidentiality Agreement	Collaborative opportunities	N/A	Confidentiality
CSIRO, Australia	2013-18	Researcher to Researcher	Collaborative opportunities Scientific exchange	N/A	Confidentiality
FBN Dummerstorf	2006	Collaboration Agreement	Sample Analyses	Visiting Researcher travel grant	Confidentiality
GTC Biotherapeutics / rEVO Biologics / LFB USA	2003-present	Confidentiality Agreement Collaboration Agreement Service Agreement	FTO In-kind support Collaborative research	\$1.29M	Confidentiality Contracted milestones
Institute of Animal Science and Veterinary Medicine, China	2014-20	Researcher to Researcher	Collaborative research Scientific exchange	Visiting Scholar grants, Chinese Government	Confidentiality
Institute of Farm Animal Genetics, Germany	2014-18	Researcher to Researcher	Collaborative opportunities Scientific exchange	Visiting Researcher travel grant	Confidentiality
Islamic Azad University, Isfahan, Iran	2007-present	MOU	Collaborative opportunities	N/A	Confidentiality
LIC	2013-14	MTA	Sample Analysis	N/A	Confidentiality
Massey Uni	2010-11	MTA	Sample Analysis	N/A	Confidentiality
Max-Planck-Institute for Molecular Genetics, Germany	2013-15	Collaboration Agreement	In-kind support Collaborative research Scientific exchange	Visiting Researcher travel grant	Confidentiality
Pharming	2005-15	HOA MTA Service Agreement	FTO In-kind support Care of animals Germplasm	\$423K	Confidentiality
Recombinetics, USA	2013-18	Joint research	FTO	N/A	Confidentiality

		MTA	In-kind support		
University of Auckland	2016-20	MoA	Collaborative research Joint Research Centre Scientific exchange	\$58K pa	Teaching
	2017-19	Research sub-contract	Collaborative research Scientific exchange	\$175K	Contracted milestones
	2015-18	Service contract	Collaborative research Scientific exchange	-\$534K	Confidentiality Contracted milestones
Université Laval, Canada	2018-present	Researcher to Researcher	Collaborative research Scientific exchange	Visiting Researcher travel grant, Canadian Government	Confidentiality

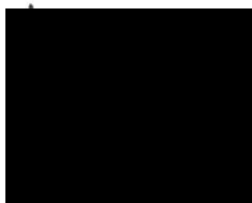
2. Lastly, I would like to add a further additional clarification question to O, a new O (u).

O (u): Can you provide the approval numbers and dates of any material created as a result of ERMA 200223 that has then been (i) exported overseas or (i) transhipped through New Zealand? For example, we note that in 2018 the semen was exported to the United States.

Please find attached a spreadsheet, listing materials that were exported with dates of their production and approval number and type of material.

Please note you have the right to seek an investigation and review by the Ombudsman of this decision. Information about how to make a complaint is available at www.ombudsman.parliament.nz or freephone 0800 802 602.

Nga mihi



Research Director